

### FATCA Questionnaire for Banks.

GENERAL INFORMATION.	
Full name of the bank	Bereke bank Joint Stock Company
Registered address	Kazakhstan, 050059, Almaty, Bostandyk district, Al-Farabi Ave., 13/1
Contact phone number and website address	+7 (727) 3210120 +7 (727) 3212180 E-mail: post@berekebank.kz www.berekebank.kz
Country of registration	Kazakhstan
Number and date of registration / license	Registration number (code) assigned by the authorized body in the State of registration (BIN): 930740000137 Date of state re-registration: 15.03.2007 (initial - 01.07.1993), Date of repeated state re-registration: 14.09.2022 License № 1.2.199/93/31 dated 20.09.2022.
Actual address	Kazakhstan, 050059, Almaty, Bostandyk district, Al-Farabi Ave., 13/1
Main banking operations	According to the License for conducting banking and other operations and activities in securities market issued by the Agency for Regulation and Development of Financial Market of the Republic of Kazakhstan
Key contact person	Name: Anikina Alina Vladimirovna Title: Deputy Chairman of Management Board Address: Kazakhstan, 050059, Almaty, Bostandyk district, Al-Farabi Ave., 13/1 Telephone: +7 (727) 3210120 +7 (727) 3212180 ext. 601 013 e-mail: : <a href="mailto:Alina.Anikina@berekebank.kz">Alina.Anikina@berekebank.kz</a>

### INFORMATION ON OWNERSHIP STRUCTURE, MANAGEMENT, AND REGULATOR.

Name (full name) of shareholder (participant)	Percentage of share holding (%)	Country of incorporation
JSC National Managing Holding 'Baiterek'	100%	Republic of Kazakhstan

☒ **Board of Directors of SB Sberbank JSC**

Full Name	Position	Country of incorporation
Maksat Nuridenuly	Chairman of the Board of Directors	Republic of Kazakhstan
Timchenko Andrey	Member of the Board of Directors	Republic of Kazakhstan
Saidenov Anvar Galimullayevich	Member of the Board of Directors, independent director	Republic of Kazakhstan
Abisheva Botagoz	Member of the Board of Directors, independent director	Republic of Kazakhstan
Madiyev Magzhan	Member of the Board of Directors, independent director	Republic of Kazakhstan
Yelemesov Askar	Member of the Board of Directors, independent director	Republic of Kazakhstan

Hamitov Ersain	Member of the Board of Directors	Republic of Kazakhstan
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☒ **Management Board of SB Sberbank JSC**

Full Name	Position	Country of incorporation
Timchenko Andrey	Chairman of the Management Board	Republic of Kazakhstan
Anikina Alina Vladimirovna	Deputy Chairman of the Management Board	Russian Federation
Kasenov Serke Muratbekovich	Deputy Chairman of the Management Board	Republic of Kazakhstan

Regulator:

National Bank of the Republic of Kazakhstan (NBK)

- The State Revenue Committee of the Ministry of Finance of the Republic of Kazakhstan (SRC) is an authorized body within the framework of the international agreement on the exchange of information for the purposes of FATCA


Also, information about the ownership structure, management, and regulator can be found on the website of Kazakhstan Stock Exchange KASE: <https://kase.kz/ru/issuers/BERK/>

**Legislation**

<p>Has your country established FATCA (Foreign Account Tax Compliance Act) laws?</p> <p>If yes, please list the name of the relevant laws of your country</p>	<p><input type="checkbox"/> No</p> <p><input checked="" type="checkbox"/> <b>Yes,</b></p> <p>Law of the Republic of Kazakhstan of March 10, 2022 № 108-VII ZRK "On Ratification of the Agreement between the Government of the Republic of Kazakhstan and the Government of the United States of America on Improvement of International Tax Discipline"</p>
<p>Has your country entered into an intergovernmental agreement with the USA on FATCA?</p> <p>If yes, please specify under what agreements / models?</p>	<p><input type="checkbox"/> No</p> <p><input checked="" type="checkbox"/> <b>Yes,</b></p> <p>Agreement between the governments of the Republic of Kazakhstan and the USA on improving international tax discipline (model 1 IGA)</p>

**Policies and procedures**

Are there bank internal policies and procedures on FATCA?	<input checked="" type="checkbox"/> <b>Yes</b> <input type="checkbox"/> No
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Registration information on <a href="http://www.irs.gov">www.irs.gov</a> web-site	
Are you assigned to the Global Intermediary Identification Number (GIIN)?	<input checked="checked" type="checkbox"/> Yes <input type="checkbox"/> No
If YES, please specify your GIIN	<b>0YZKWF.99999.SL.398</b>
If YES, specify your classification and type	<i>Registered Deemed – Compliant</i> Financial Instruction ( including a Reporting Financial Institution under a Model I IGA
If NO, do you intend to get GIIN?	
I certify that the above information given on FATCA is true, accurate, and correct.  <b>NAME: Valentina Nagay</b> <b>TITLE: Director of International Relations and Trade Finance Department</b>  SIGNATURE:  DATE: 22.02.2024	

Glossary:

The following terms are used in this questionnaire:

"**FATCA**" – (Foreign Account Tax Compliance Act)

"**GIIN**" – (Global Intermediary Identification Number)

"**IRS**" – (Internal Revenue Service)

"**PFFI**" – (Participating Foreign Financial Institution)

*Nagay. V.*